

JCT759  
U.S. PTO  
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09-04-01 X

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 16869P031600

First Inventor Arakawa, Hiroshi

Title ~ BACKUP PROCESSING METHOD

Express Mail Label No. EL670654743US

U.S. PTO  
08/30/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning design patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 32-  
(preferred arrangement set forth below)]  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or  
a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C.113) [Total Sheets 8 ]
5. Oath or Declaration/Power of Atty [Total Pages 4 ]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper number of pages
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. §3.73(b)Statement  Power of  
(when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations (1)
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed) (JP 2001-095789)
16.  Nonpublication Request under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent
17.  Other:

**18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:** Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.****19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label    20350    or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Robert C. Colwell	Registration No. (Attorney/Agent)	27,431
Signature	Robert C. Colwell		Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.  
PA 3167131 v1

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 750)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Arakawa, Hiroshi
Examiner Name	
Group Art Unit	
Attorney Docket No.	16869P-031600US

METHOD OF PAYMENT					FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  <b>Deposit Account Number</b> 20-1430					3. ADDITIONAL FEES					
Deposit Account Name Townsend and Townsend and Crew LLP  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath						
127	50	227	25	Surcharge - late provisional filing fee or cover sheet						
139	130	139	130	Non-English specification						
147	2,520	147	2,520	For filing a request for reexamination						
112	920*	112	920*	Requesting publication of SIR prior to Examiner action						
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action						
115	110	215	55	Extension for reply within first month						
116	390	216	195	Extension for reply within second month						
117	890	217	445	Extension for reply within third month						
118	1,390	218	695	Extension for reply within fourth month						
128	1,890	228	945	Extension for reply within fifth month						
119	310	219	155	Notice of Appeal						
120	310	220	155	Filing a brief in support of an appeal						
121	270	221	135	Request for oral hearing						
138	1,510	138	1,510	Petition to institute a public use proceeding						
140	110	240	55	Petition to revive – unavoidable						
141	1,240	241	620	Petition to revive – unintentional						
142	1,240	242	620	Utility issue fee (or reissue)						
143	440	243	220	Design issue fee						
144	600	244	300	Plant issue fee						
122	130	122	130	Petitions to the Commissioner						
123	50	123	50	Petitions related to provisional applications						
126	180	126	180	Submission of Information Disclosure Stmt						
581	40	581	40	Recording each patent assignment per property (times number of properties)	40					
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))						
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))						
179	710	279	355	Request for Continued Examination (RCE)						
169	900	169	900	Request for expedited examination of a design application						
Other fee (specify)										
The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.										
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$40)					

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Robert C. Colwell	Registration No. (Attorney/Agent)	27,431	Telephone	650-326-2400
Signature			Date	August 30, 2001	

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